

Report

Governance and Audit Committee

Part 1

Date: 29 September 2022

Subject Draft Work Programme

Purpose To report the details of this Committee's work programme.

Author Democratic & Services Officer

Ward General

Summary The purpose of a forward work programme is to help ensure Councillors achieve organisation and focus in the undertaking of enquiries through the Governance & Audit Committee function. This report presents the current work programme to the Committee for information and details the items due to be considered at the Committee's next two meetings.

Proposal **The Committee is asked to endorse the proposed schedule for future meetings, confirm the list of people it would like to invite for each item, and indicate whether any additional information or research is required.**

Action by Governance and Audit Committee

Timetable Immediate

Background

The purpose of a forward work programme is to help ensure Councillors achieve organisation and focus in the undertaking of enquiries through the Governance & Audit Committee function.

Attached at Appendix 1 is the forward work programme for this Committee. Below are the items scheduled to be presented at the Committee's next two meetings. Committee Members are asked to endorse this schedule, confirm the list of people they would like to invite for each item, and indicate whether any additional information or research is required.

27 October 2022
SO24/Waiving of Contract SOs: Quarterly report reviewing Cabinet/CM urgent decisions or waiving Contract SOs (Quarter 2, July to September)
Corporate Risk Register (Quarter 2)
Treasury Management Report
Audit of Financial Statements Report 2021/22
Audit Wales Annual Report on Grants Works 2021-22 Draft
Audit Enquiries Letter 2021/22

26 January 2023

Internal Audit Plan – Progress (Quarter 3)

Financial Memorandum on the 2021-22 Financial Audit

Lessons Learned 2021/22

Internal Audit Unsatisfactory Audit Opinions (6 monthly report)

Referrals to Audit Committee

Comments of Chief Financial Officer

There will be financial consequences for some of the reviews undertaken. These will be commented upon as the reports are presented. The preparing and monitoring of the work programme is done by existing staff for which budget provision is available.

Comments of Monitoring Officer

I have no comments, as there are no legal implications.

Staffing Implications: Comments of Head of People and Business Change

There are no staffing implications within this report. Any staffing implications of the reviews in the work programme will need to be addressed in individual reports.

Background Papers

None.

Appendix 1

(Audit Committee to meet every other month unless circumstances dictate otherwise)

30 March 2023

WAO Annual Report on Grants Works 2022-23

Annual Corporate Self-Assessment

Audit Wales and Regulatory Bodies 6-month update

Annual Report on Compliments, Comments and Complaints Management 2023

Annual Governance Statement (draft statement)

Referrals to Audit Committee

25 May 2023

Appointment of Chairperson

Treasury Management Year End Report 2022/2023

Corporate Risk Register Quarter 4

Annual Report on Compliments, Comments and Complaints Management update

SO24/Waiving of Contract SOs: Quarterly report reviewing Cabinet/CM urgent decisions or waiving

Contract SOs (Quarter 4, January to March)
Audit Wales Annual Report on Grants Works 2022-23 Draft
Internal Audit Annual Report 2022-2023
Internal Audit Annual Plan 2023-2024
Referrals to Audit Committee